

CSU/Elsevier Open Access Pilot Overview and Discussion Points

Presentation to the CSU Council of Library Deans
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About Us



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Pilot Parameters

California State University

Elsevier and California State University (CSU) have entered into a pilot agreement, which provides CSU researchers with access to Elsevier journals and supports open access publishing. When publishing in applicable Elsevier journals, CSU authors can choose to publish open access at no additional cost to the author.

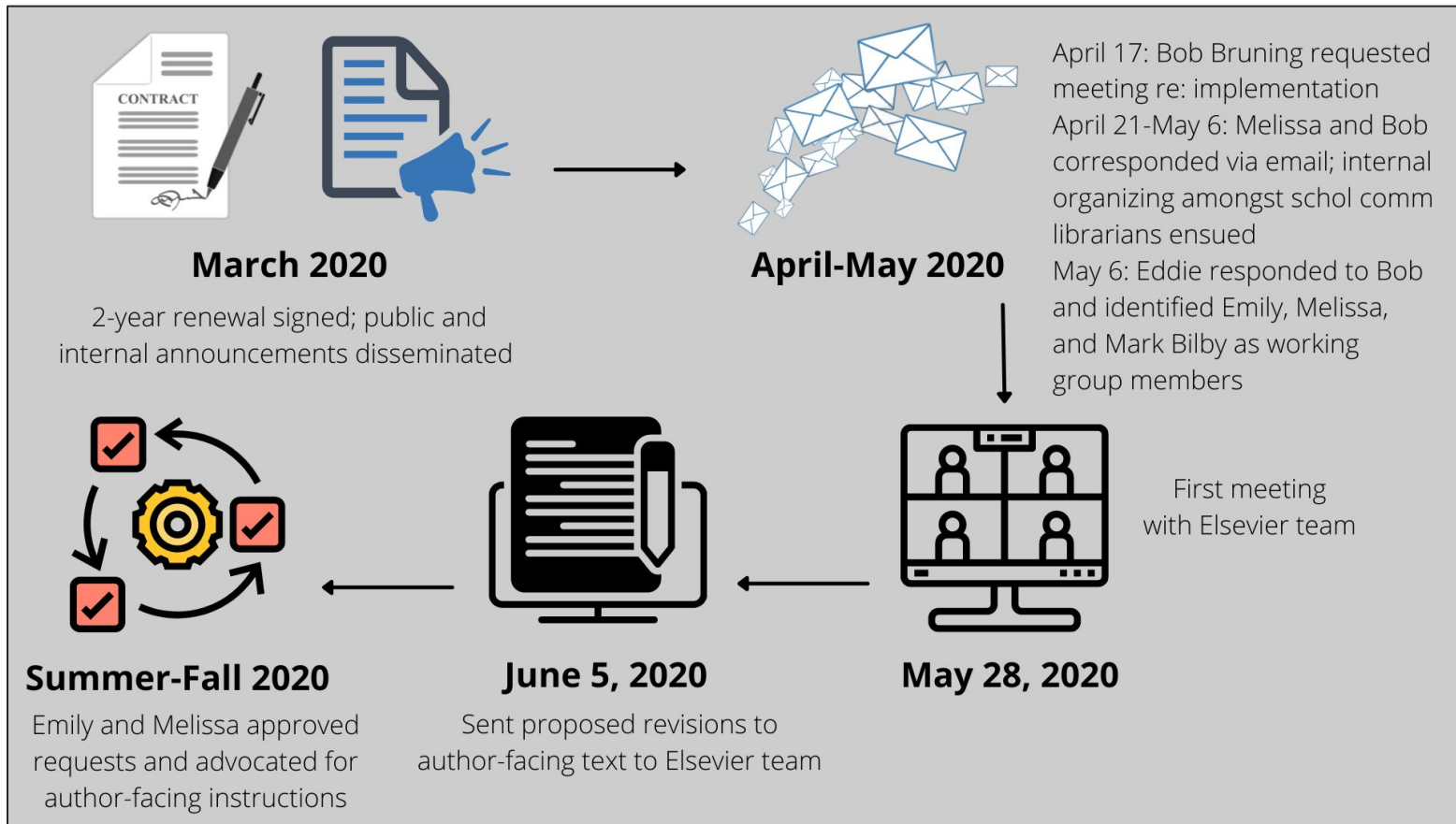
Use our [journal search tool](#) ↗ to find eligible titles

Requirements

- The author must be the corresponding author affiliated with an eligible institution
- The article must have a submission date between January 1, 2020 and December 31, 2021

<https://www.elsevier.com/open-access/agreements/california-state-university>

Timeline



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September-October 2020

Eddie convened SDRC Transformative Agreement Negotiation Team; considered ACM offer



October 2020

Elsevier finalized video tutorial, but no closed captioning; created written instructions in Confluence to supplement



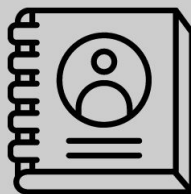
November 2020

Memo to COLD requesting pilot administrator from each campus



Fall 2020-Winter 2021

Emily took the lead on contacting all CSU authors eligible for retrospective OA



January 2021

Pilot administrators for each campus added to system



March 2021

Train the trainer sessions

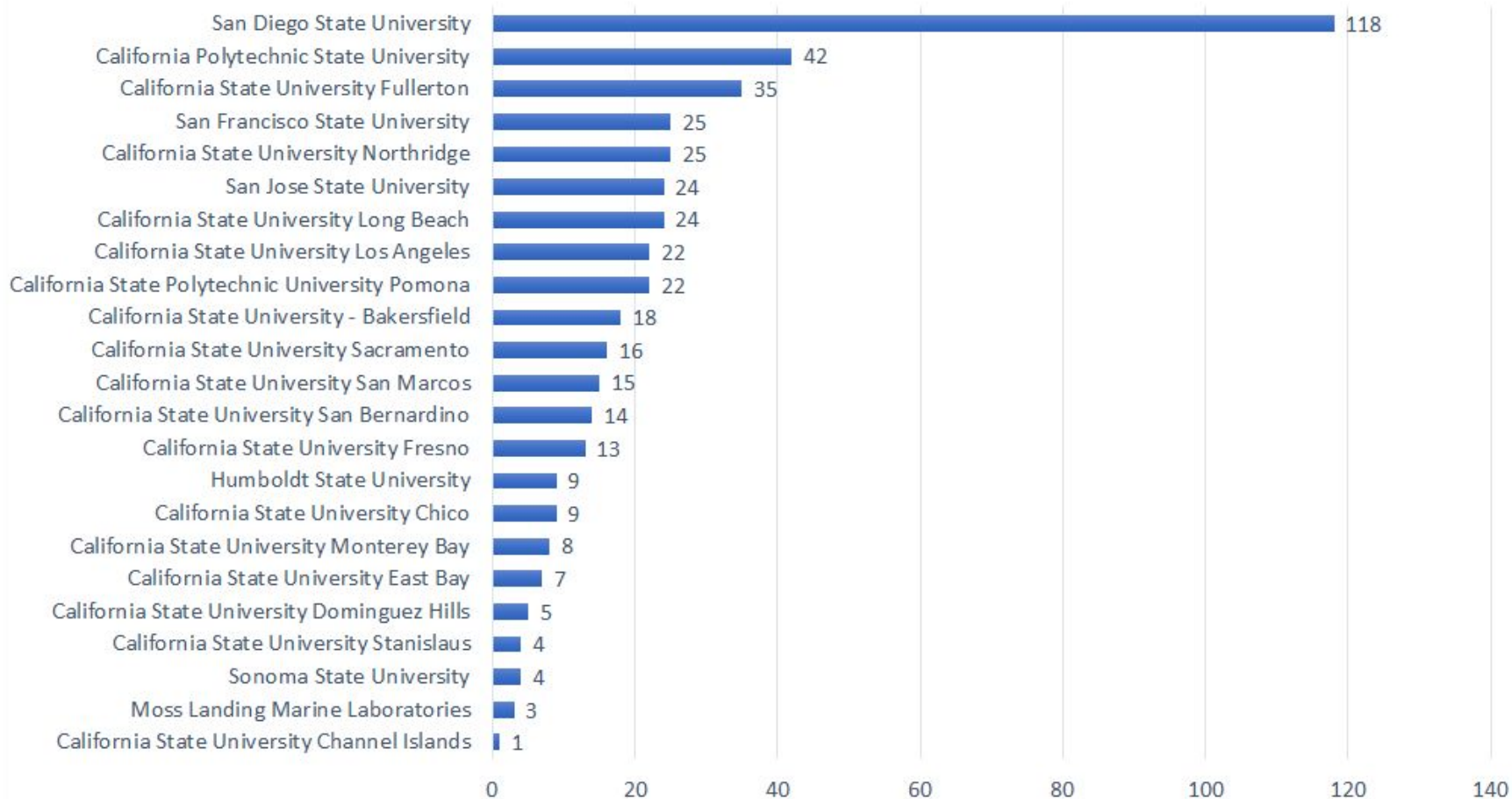
Implementation Challenges

- Difficult to identify campus administrators and get them trained
- Tutorials for author journey; still not captioned
- Changes to the author journey pages -- some improvements during the course of the pilot, including the \$0/APC waived page
- Train the Trainer sessions -- two sessions; locally handled thereafter with some support from Emily & Melissa
- Lack of regular communication between CSU structures (e.g., committee, COLD) and us, which could have led to some uneven perceptions of the value of the pilot

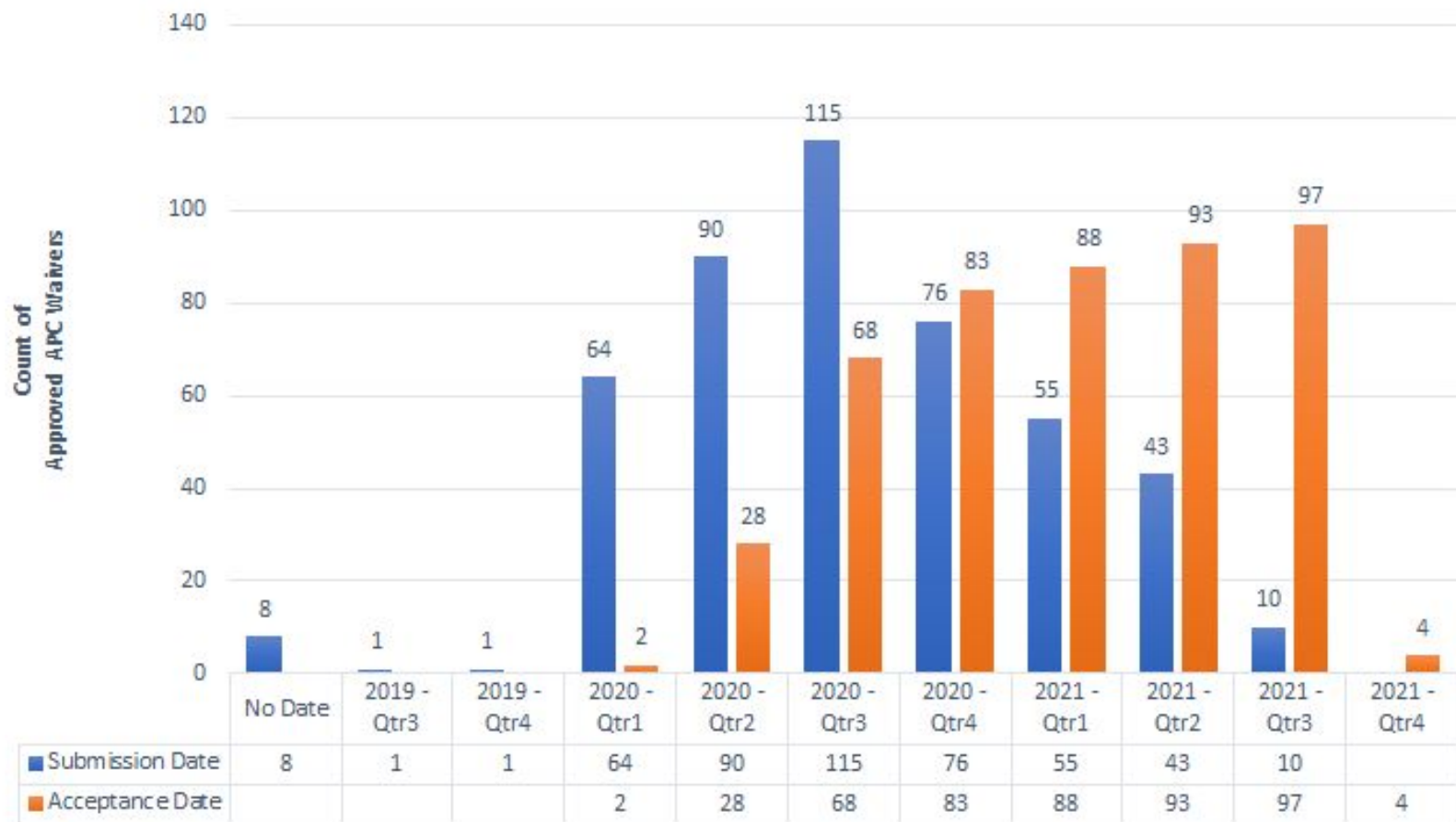
Results (January 2020-September 2021)

- 463 article waivers have been approved
 - At \$3,000 per article waiver, this represents a \$1,389,000 value
- 75 articles are currently subscription access
 - 55 are eligible for retrospective open access
 - Retrospective open access is available for most calendar year 2021 submissions and must be requested by January 31, 2022
 - Retrospective open access information will be shared with your campus administrators to remind them to contact faculty of this option
 - If we are able to convert these to open access, this is another \$165,000 in authors' savings

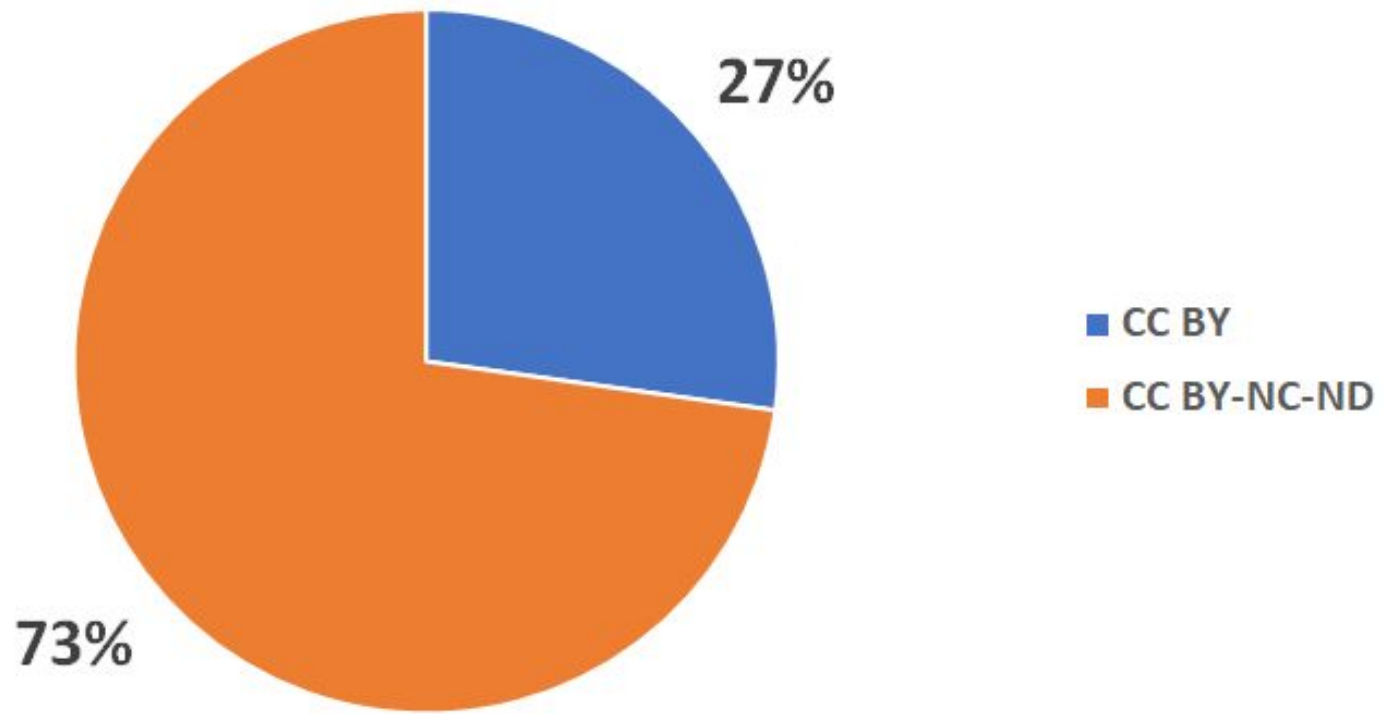
Number of Approved APCs by California State University (January 2020-September 2021, n=463)



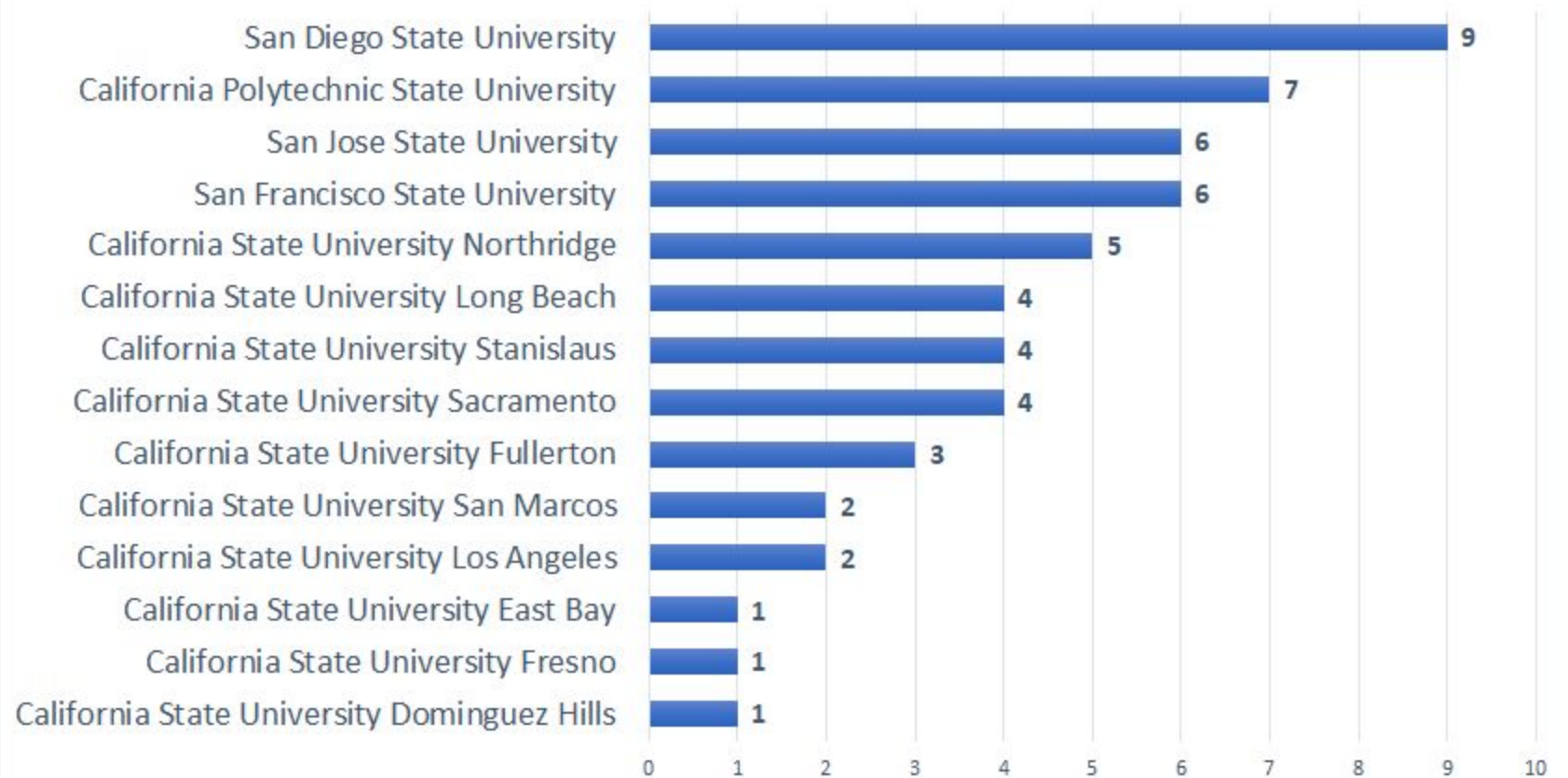
Approved APC Waivers by Submission and Acceptance Dates (January 2020-September 2021, n=463)



Distribution of Author-Selected Creative Commons Licenses Among Open Access Activated Articles



Retrospective Open Access Articles by Campus (n=55)



Other Considerations

- There is a latency (lag) between cause and effect; for example, if this pilot influences author behaviors to divert manuscripts to Elsevier titles, the observable effects and impact of those behaviors will take significant amounts of time to materialize
- To qualify, submission date needed to be in calendar years 2020 or 2021
- Earliest acceptance date among the eligible articles was March 27, 2020, indicating the turnaround time for peer review
- At the article level, does OA status positively affect visibility and impact?

Value of “Publish” in a Read and Publish Agreement

- Anecdotes from our faculty
 - “Access is a key value that SF State and CSU holds dear. Open Access allows scholars from all over the world to access a research paper, especially scholars and researchers from emerging market and developing economies and scholars working at less resourced colleges and universities who may not have the same level of access to proprietary databases.” - Dean Eugene Sivadas, Lam Family College of Business, San Francisco State University
 - “Being able to publish this in an open access journal elevates the impact of the research and would normally be too expensive for unfunded research. For a new graduate student, it would be impossible to afford. In the CSU which unlike many institutions does not provide supplemental support for research or publishing, this agreement opens new opportunities for both faculty and students to showcase their scholarship.” - Professor Gretchen LeBuhn (co-published with graduate student), Department of Biology, San Francisco State University
- The visibility and impact that comes from having our faculty’s scholarship available for reading is currently unknown; as time elapses, we will be able to collect more information about this from usage and citation data

Questions?